

# Anomalous thermodynamic characteristics of an $S/N$ superlattice

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The density of states has been found for superconducting and normal monoatomic layers of a superlattice. The energy corresponding to the maximum of the density of states,  $\tilde{\Delta}$ , may be considerably higher than the standard value according to the BCS theory,  $1.76T_c$ . This circumstance might explain the large value of the ratio  $2\tilde{\Delta}/T_c \approx 5-6$  for high- $T_c$  superconductors.

The high- $T_c$  superconductors have a pronounced, layered-type electron anisotropy, which reflects the particular crystal structure of these materials (Ref. 1, for example). At present, most researchers lean toward the interpretation that  $\text{CuO}_2$  layers are responsible for the superconductivity in these materials. In addition to these layers, the high- $T_c$  superconductors contain layers of other types, in which one might expect to see evidence of metallic properties (in  $\text{YBa}_2\text{Cu}_3\text{O}_7$ , these would be layers of  $\text{CuO}$ ,  $\text{Y}$ , and  $\text{Ba}$  chains; in the  $\text{Bi}$  and  $\text{Tl}$  compounds, they would be  $\text{BiO}$ ,  $\text{TlO}$ ,  $\text{Sr}$ , and  $\text{Ca}$  layers; etc.). In addition, recent technological progress<sup>2</sup> in the fabrication of heterostructures raises the hope that it would someday become possible to create artificial  $S/N$  systems with monoatomic layers.

In this connection let us consider the properties of a system consisting of an alternation of superconducting ( $S$ ) and normal monolayers ( $N$ ) monolayers. We assume that a Cooper pairing occurs only in the  $S$  layers and that the hopping integral for the hopping between layers satisfies  $t \ll E_F$ . It is possible that this model, which was proposed by Bulaevskii and Zyskin,<sup>3</sup> correctly conveys the basic features of  $S/N$  superstructures. The Hamiltonian of the system is

$$H = \sum_{p, n, i, \sigma} \{ \xi(\vec{p}) a_{ni\sigma}^+(\vec{p}) a_{ni\sigma}(\vec{p}) + t(a_{ni\sigma}^+(\vec{p}) a_{n, -i, \sigma}(\vec{p}) + a_{n, -i, \sigma}^+(\vec{p}) a_{ni\sigma}(\vec{p}) + \text{c.c.}) \} + \frac{\Lambda}{2} \sum_{p_1, p_2, n, \sigma} a_{n1\sigma}^+(\vec{p}_1) a_{n, 1, -\sigma}^+(-\vec{p}_1) a_{n, 1, -\sigma}(-\vec{p}_2) a_{n1\sigma}(\vec{p}_2), \quad (1)$$

where the operator  $a_{ni\sigma}^+(\vec{p})$  creates an electron with a momentum  $\vec{p}$  and a spin  $\sigma$  in layer  $i$  of unit cell  $n$  (which consists of  $S$  and  $N$  layers). The index  $i$  takes on the values  $i = 1$ , for an  $S$  layer, and  $i = -1$ , for an  $N$  layer. Here  $\xi(\vec{p}) = E(\vec{p}) - E_F$ . We assume for simplicity that the electron dispersion  $E(\vec{p})$  is the same in the  $N$  and  $S$  layers.

It is possible to derive an exact solution of the equations for the normal ( $G_{ij}$ ) and

anomalous ( $F_{ij}^+$ ) Green's functions ( $i, j = \mp 1$  are the indices of the layers in the unit cell). In particular, we have

$$G_{ii}(\omega, \vec{p}, q) = [\omega - (\omega_+^2 - \tilde{T}^2) + (i-1)\omega_+ \Delta^2/2] / (\omega^2 + E_1^2)(\omega^2 + E_2^2),$$

$$F_{ii}^+(\omega, \vec{p}, q) = -\Delta\omega - \omega_+ / (\omega^2 + E_2^2)(\omega^2 + E_2^2),$$

$$\omega_{\mp} = i\omega \mp \xi, \tag{2}$$

$$E_{1,2}^2 = \xi^2 + \tilde{T}^2 + \Delta^2/2 \pm (4\xi^2\tilde{T}^2 + \Delta^4/4 + \tilde{T}^2\Delta^2)^{1/2},$$

$$\tilde{T} = t(1 + e^{iq}).$$

As  $t$  increases, the transition temperature of the system of course decreases (because the neighborhood of the  $N$  layers becomes more influential), but there is also a decrease in the parameter  $\Delta$ . It is a simple matter to derive an equation for the ratio  $\Delta(0)/T_c$ :

$$0 = \int d\xi dq \sum_{k=1}^2 \left( \frac{\text{th}(E_k(\xi, q, 0)/2T_c)}{E_k(\xi, q, 0)} \left( 1 - (-1)^k \frac{T}{2\xi} \right) - E_k^{-1}(\xi, q, \Delta(0)) \left( 1 - (-1)^k \frac{T^2 + \Delta^2(0)/2}{(4\xi^2\tilde{T}^2 + \Delta^4/4 + \tilde{T}^2\Delta^2)^{1/2}} \right) \right). \tag{3}$$

The dashed line in Fig. 1 shows the ratio  $\Delta(0)/T_c$  as a function of the hopping integral  $t$  (again, for hopping between layers). It is easy to see that this quantity differs from the standard value according to the BSC theory,  $\Delta(0)/T_c = 1.76$  at  $t = 0$ . It doubles at large values of  $t$ .

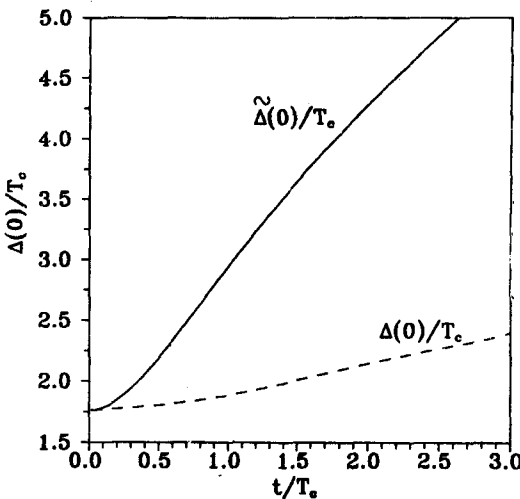


FIG. 1. The ratio  $\Delta/T_c$  versus the hopping integral  $t$ .

However, we would like to know how the parameter  $\Delta(0)$  is related to those characteristics of a system which are usually measured in actual experiments. Let us look at a calculation of the density of states  $\rho_i(E) \sim \text{Sp}[\text{Im}G_{ii}(i\omega \rightarrow E + i\delta)]$ , which can be found from tunneling measurements (among other ways). Using expression (2) for  $G_{ii}$ , we find

$$\rho_i(E) = \frac{\rho(0)|E|}{2\pi} \int_0^\pi dq \left\{ \left( 1 + \frac{\tilde{T}^2 - i\Delta^2/2}{\sqrt{4E^2\tilde{T}^2 + \Delta^4/4 - \tilde{T}^2\Delta^2}} \right) |\xi_1|^{-1} + \text{sign}(\sqrt{4E^2\tilde{T}^2 + \Delta^4/4 - \tilde{T}^2\Delta^2} - 2\tilde{T}^2) \left( 1 - \frac{\tilde{T}^2 - i\Delta^2/2}{\sqrt{4E^2\tilde{T}^2 + \Delta^4/4 - \tilde{T}^2\Delta^2}} \right) |\xi_2|^{-1} \right\}, \quad (4)$$

where  $\xi_{1,2}^2 = E^2 + \tilde{T}^2 - \Delta^2/2 \pm \sqrt{4E^2\tilde{T}^2 + \Delta^4/4 - \tilde{T}^2\Delta^2}$ . Figure 2 shows the results of numerical calculations of the density of states in the  $S$  layers (the solid line) and in the  $N$  layers (the dashed lines) for various values of  $t$ .

As was pointed out in Ref. 3, the superconductivity in this case is a gapless superconductivity. Analysis of expression (4) shows that the functional dependence  $\rho(E)$  is considerably more complex than as described in Ref. 3. There are two logarithmic singularities on the plot of  $\rho(E)$ ; the singularity at low energies has a greater effect in the  $N$  layer. With increasing  $t$ , the singularity shifts up the energy scale, tending toward the value  $E = \Delta/2$  in the large- $t$  limit. The singularities in the  $S$  and  $N$  layers become essentially identical here. The singularity at  $E > \Delta$  is observed at energies

$$E = \tilde{\Delta} = (4t^2 + \Delta^2/2 + \sqrt{4t^2\Delta^2 + \Delta^4/4})^{1/2} \quad (5)$$

(see the solid line in Fig. 1). The singularity is more obvious in the  $S$  layer. It is easy to see that  $\tilde{\Delta}$  increases rapidly with increasing  $t$ . Golubov and Kupriyanov<sup>4</sup> have derived a functional dependence  $\rho(E)$  with two singularities for a model of a "thick"  $S/N$  bilayer.

Since the correlation length along the direction perpendicular to the layers is on the order of the interplanar spacing in the high- $T_c$  superconductors,<sup>1</sup> we can use the estimate  $t \sim T_c$ . It is obvious from Fig. 2 that at these values of the hopping integral the quantity  $\tilde{\Delta}$  is quite far from its "actual" value  $\Delta(0)$  and corresponds to  $2\tilde{\Delta}/T_c \approx 5$ . This peak on the density of states can be interpreted as the value of  $\Delta$  in tunneling experiments and can explain the large value of the ratio  $\Delta(0)/T_c$  in the high- $T_c$  superconductors.

At  $E = \Delta$ , a jump appears in the density of states in the  $S$  layer; with increasing value of the hopping integral  $t$ , this jump shrinks. At large values of  $t$  ( $t > 0.25\Delta$ ), an additional jump appears at

$$E = (4t^2 + \Delta^2/2 - \sqrt{4t^2\Delta^2 + \Delta^4/4})^{1/2}.$$

This second jump is seen in the density of state for both the  $N$  and  $S$  layers. A fine

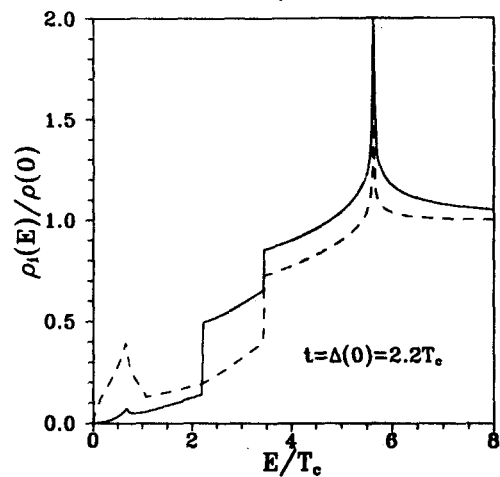
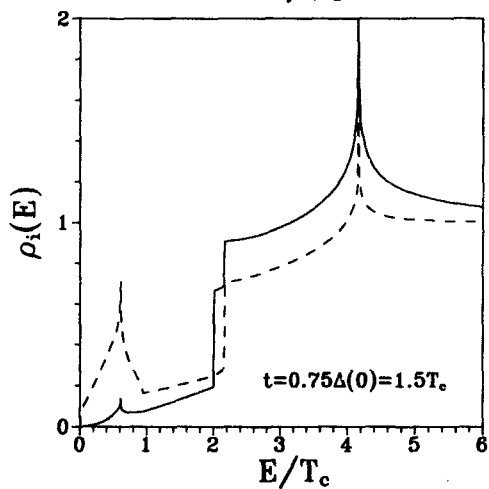
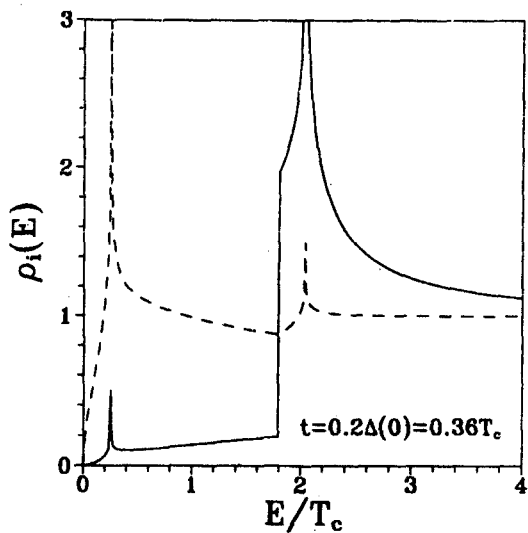


FIG. 2. Density of states at superconducting layer (solid line) and at a normal layer (dashed line) for various values of the hopping integral  $t$ .

structure of this sort inside the “gap” has indeed been observed in several experiments.<sup>5,6</sup>

The value found for the ratio  $\Delta(0)/T_c$  from tunneling measurements may thus be considerably higher than the standard BCS values. In real high- $T_c$  systems the presence of a large number of layers, with various values of the hopping integrals for hopping between these layers, disrupts the gapless nature of the superconductivity,<sup>3</sup> but it does not qualitatively change the results derived here. In a numerical analysis of a model with five different layers in the unit cell, Tachiki *et al.*<sup>7</sup> found indications of a fine structure. Because of the complexity of their model,<sup>7</sup> however, it is not possible to draw any conclusions about the changes in the characteristics of this system with an increase in the coupling between layers.

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