

# Effect of the order parameter dynamics on the phonon emission in superconductors

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A fluctuating phonon flux from the "phase-slip centers" is predicted. The presence of such a flux would confirm the basic concepts of the dynamic theory of the resistive state.

1. At  $T \sim T_c$  the dynamic equation<sup>1-5</sup> for the order parameter  $\Delta$

$$\begin{aligned}
 - \frac{\pi}{8T\sqrt{1 + (2\tau_\epsilon |\Delta|)^2}} \left[ \frac{\partial}{\partial t} + 2i\varphi + 2\tau_\epsilon^2 \frac{\partial |\Delta|^2}{\partial t} \right] \Delta + \frac{\pi}{8T_c} D(\vec{\nabla} - 2i\mathbf{A})^2 \Delta \\
 + \left[ \frac{T_c - T}{T_c} - \frac{7\zeta(3)}{8(\pi T)^2} |\Delta|^2 \right] \Delta = 0
 \end{aligned} \tag{1}$$

contains the energy relaxation time of electrons,  $\tau_e$ , which may depend on time under nonequilibrium conditions. Assuming that the energy decay of electrons  $\gamma = 2/\tau_e$  is due primarily to the inelastic collisions with actual phonons, we represent  $\gamma$  in the form

$$\gamma \approx \frac{7\pi\lambda\zeta(3)T^3}{(up_F)^2} + \frac{\pi\lambda}{(up_F)^2} \int_0^\infty d\omega_q \omega_q^2 \delta N_{\omega_q} = \gamma_0 + \delta\gamma, \tag{2}$$

where  $\delta N_{\omega_q} = N_{\omega_q} - N_{\omega_q}^0$  is the nonequilibrium part of the phonon distribution function,  $u$  is the speed of sound, and  $\lambda$  is the dimensionless electron-phonon coupling constant. The quantity  $\delta N_{\omega_q}(t)$  can be determined from the kinetic equation for phonons,

$$\frac{d}{dt} (\delta N_{\omega_q}) = J(N_{\omega_q}) + L(N_{\omega_q}), \tag{3}$$

where  $J(N_{\omega_q})$  is the phonon-electron collision integral, whose explicit form is given in Ref. 6, and  $L(N_{\omega_q})$  is an operator which describes how the phonons are related to the environment (the heat sink). In the approximation of Ref. 7, this operator can be written

$$L(N_{\omega_q}) \approx -\delta N_{\omega_q} / \tau_{es}, \tag{4}$$

where  $\tau_{es} \sim d/u$ , and  $d$  is the scale dimension of the superconductor. The phonon-electron inelastic collision integral can be simplified considerably in the approximation of the "local equilibrium" between the condensate and the single-electron excitations. The single-electron excitations in this case are described by the functions<sup>1-5</sup>

$$f_1(\epsilon) \equiv (1 - n_{\epsilon} - n_{-\epsilon}) \operatorname{sign} \epsilon \approx -\tau_{\epsilon} \frac{\partial |\Delta|}{\partial t} \frac{R_2}{N_1} \frac{\partial f_0}{\partial \epsilon} + f_0(\epsilon), \quad f_0(\epsilon) = \tanh \frac{\epsilon}{2T}, \quad (5)$$

$$f_2(\epsilon) \equiv -(n_{\epsilon} - n_{-\epsilon}) \frac{\operatorname{sign} \epsilon}{N_1} \approx \frac{N_1 (\partial f_0 / \partial \epsilon) \varphi + \tau_{\epsilon} |\Delta| N_2 (\partial \theta / \partial t) (\partial f_0 / \partial \epsilon)}{2\tau_{\epsilon} |\Delta| N_2 + N_1};$$

$$N_1 = \operatorname{Re} \frac{\epsilon + i\gamma}{\sqrt{(\epsilon + i\gamma)^2 - |\Delta|^2}}, \quad N_2 = -\operatorname{Im} \frac{|\Delta|}{\sqrt{(\epsilon + i\gamma)^2 - |\Delta|^2}},$$

$$R_2 = \operatorname{Re} \frac{|\Delta|}{\sqrt{(\epsilon + i\gamma)^2 - |\Delta|^2}}. \quad (6)$$

Substituting (5) into the expression<sup>6</sup> for  $J(N_{\omega_q})$  and taking account of the fact that the quantities  $u_{\epsilon}$  and  $v_{\epsilon}$  used in Ref. 6 become  $N_1$  and  $R_2$ , respectively, we find

$$J(N_{\omega_q}) \approx \frac{\pi\lambda}{2} \frac{\omega_D}{\epsilon_F} \left\{ 2 \frac{\partial |\Delta|}{\partial t} \frac{\tau_{\epsilon}}{T} N_{\omega_q}^0 \eta_1 - \delta N_{\omega_q} \eta_2 \right\}, \quad (7)$$

$$\eta_1 = \int_0^{\infty} d\epsilon \frac{P(\epsilon) R_2(\epsilon)}{\cosh^2(\epsilon/2T)} + \int_0^{\infty} d\epsilon Q(\epsilon) \left\{ \frac{R_2(\epsilon + \omega_q)}{N_1(\epsilon + \omega_q) \cosh^2[(\epsilon + \omega_q)/2T]} - \frac{R_2(\epsilon)}{N_1(\epsilon) \cosh^2(\epsilon/2T)} \right\}, \quad (8)$$

$$\eta_2 = \int_0^{\omega_q} d\epsilon P(\epsilon) \tanh \frac{\epsilon}{2T} + \int_0^{\omega_q} d\epsilon Q(\epsilon) \left( \tanh \frac{\epsilon + \omega_q}{2T} - \tanh \frac{\epsilon}{2T} \right), \quad (9)$$

and

$$P(\epsilon) = N_1(\epsilon) N_1(\omega_q - \epsilon) + R_2(\epsilon) R_2(\omega_q - \epsilon),$$

$$Q(\epsilon) = N_1(\epsilon) N_2(\omega_q + \epsilon) - R_2(\epsilon) R_2(\omega_q + \epsilon). \quad (10)$$

The function  $\eta_1$  for various parameters of the superconductor is plotted in Fig. 1. Under the same conditions the function  $\eta_2$  is nearly linear:  $\eta_2 \approx c\omega_q$ , where  $c \approx 1$ .

From the relations which we obtained we can find  $\delta N_{\omega_q}(t)$  and establish a relationship between the order parameter dynamics and the nonequilibrium phonons.

2. We define the "generalized local-equilibrium approximation (equilibrium between the condensate, the electronic excitation, and the phonons) as the approxima-

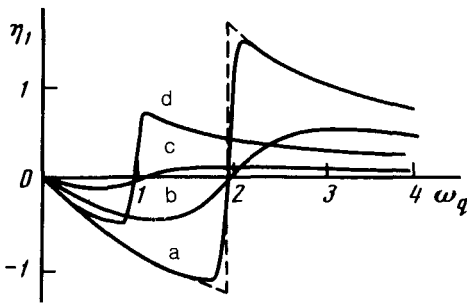


FIG. 1. The function  $\eta_1(\omega_q)$  at  $T=5$ . a)  $\Delta=1$ ,  $\gamma_0=0.01$ ; b)  $\Delta=1$ ,  $\gamma_0=0.3$ ; c)  $\Delta=0.5$ ,  $\gamma_0=0.3$ ; d)  $\Delta=0.5$ ,  $\gamma_0=0.01$ . The dashed line is the case in which  $\Delta=1$  and  $\gamma_0=0$ . All quantities are given in units of  $\Delta_0$ .

tion in which the “local equilibrium” conditions are satisfied<sup>1-5</sup> and in which it is assumed that the characteristic frequencies (and the wave vectors) at which  $N_{\omega_q}$  varies are low in comparison with  $\lambda\omega_D T/\epsilon_F$ , so that the left side of Eq. (3) can be dropped. From (3)–(10) in this case we find for  $\delta N_{\omega_q}$ , which depends on  $r$  and  $t$  only in an implicit manner [through  $\Delta(r,t)$ ], the expression which we write in the limit  $\tau_{es} \rightarrow \infty$ , in which the nonequilibrium nature of the phonon system is particularly well defined:

$$\delta N_{\omega_q} = \frac{\partial |\Delta|}{\partial t} N_{\omega_q}^0 \frac{\eta_1}{\eta_2 T \gamma_0}. \quad (11)$$

Substituting (11) into (2), we find

$$\delta\gamma \sim \frac{1}{T} \frac{\partial |\Delta|}{\partial t}. \quad (12)$$

Since the characteristic frequencies at which  $|\Delta|$  changes in this case are small compared with  $\gamma_0$  [the condition under which Eq. (1) can be used<sup>1-5</sup>], we have  $\delta\gamma/\gamma_0 \ll 1$  even in the limit  $\tau_{es} \rightarrow \infty$ . The nonequilibrium nature of the phonon system in the “generalized local-equilibrium” approximation therefore affects the behavior of the order parameter only slightly. This parameter can be described by Eq. (1) with  $\tau_\epsilon$  which does not depend on time. [We note that the phonons may increase in importance if the conditions of the approximation mentioned above are violated. Equations (1) and (3) in this case must be considered jointly.]

3. We now consider the limiting case  $\tau_{es} \rightarrow 0$  in which, according to (4),  $\delta N_{\omega_q} \rightarrow 0$ . This condition is satisfied when  $d \lesssim \xi(T)$  (for a superconducting film or filament, for example). The emission of phonons from a superconductor into the heat sink in this case is given by (7). According to Ref. 6, the intensity of the phonon flux emitted by a volume  $\vartheta$  in the spectral interval  $d\omega_q$  is

$$dW_{\omega_q} = J(N_{\omega_q}^0) \rho(\omega_q) d\omega_q, \quad \rho(\omega_q) = \int \omega_q^3 / 2\pi^2 u^3. \quad (13)$$

It follows from (1), (7), and (13) that any change in the order-parameter modulus is accompanied by an exchange of phonons between the superconductor and the heat sink.

As an example, we will consider a situation which occurs in narrow supercon-

ducting filaments or whiskers which are in the resistive state. According to the dynamic model (see, e.g., Ref. 8), these states are characterized by periodically spaced "phase-slip centers." The order-parameter modulus periodically vanishes at these centers with a scale length  $\Lambda \sim \xi(T) [\gamma_0 / \Delta_0(T)]^{1/2}$ , where  $\Delta_0$  is the equilibrium value of the gap. The oscillation frequency is determined by a Josephson-type relation:  $\omega = 2V$ , where  $V$  is the potential difference at the center.

Expressions (13), (7), and (8) can be used to calculate the spectral dependence of the phonon emission from the phase-slip center at a specified time. Qualitatively, this dependence is similar to that shown in Fig. 1; at  $\omega \gg T$  the emission is small. Since  $|\Delta(t)|$  is a periodic function of time,<sup>5</sup> the phonon emission from the active region is pulsating in nature and the phonon flux periodically reverses its direction, as is evident from the spin-changing factor  $\partial |\Delta| / \partial t$  in (7) and (13).

The intensity of the phonon flux per unit volume is  $w \sim (\omega_D / \epsilon_F) (\Delta^2 / \gamma) (T^3 / u^3) V$ . For  $V < \gamma$  (for example,  $V \sim 10^2$  nanovolts) at  $T \sim 10$  K we would have  $w \sim 10^3$  W/cm<sup>3</sup>, which is several orders of magnitude greater than the ohmic dissipation,  $p \sim V^2 / \rho \Lambda^2$ , where  $\rho$  is the resistivity of the active region of length  $\Lambda$ .

An alternating pulsating flux is not easy to detect. One approach would be to use a high time resolution technique. Another approach would be to detect the phonon flux. This method should also be sensitive to the direction of the phonon flux (for example, a method based on the <sup>4</sup>He fountain effect<sup>9</sup> could be used).

A phonon emission pattern spatially modulated and periodic with respect to time would constitute a direct confirmation of the validity of the current theoretical understanding of the physics of the resistive state.<sup>8</sup> Of considerable interest in this connection are the flat films in the resistive state, which typically exhibit the phase-slip lines.<sup>10</sup> A detailed information about the shape of these lines and about their time evolution could be obtained from the analysis of their characteristic phonon emission.

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