

Twistors and instantons in higher dimensionalities

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Seven- and eight-dimensional “octonion” generalizations of Penrose’s twistor construction are proposed. Their relationship with the corresponding duality equations and with the octonion generalization of the ADHM construction are pointed out.

Modern Kaluza-Klein theories are attracting interest to solutions of the classical equations in dimensionalities > 4 , in particular, analogs of the $4D$ duality equations.^{1–4} For example, in a space with $4k$ dimensions, a generalization of the ADHM construction⁵ has been found⁴ for equations of this type² which lower $SO(4k)$ to $Sp(1) \times Sp(k) / Z_2$. In dimensionality 8 (and 7), however, there is still an “exceptional”—in the sense of a relationship with the algebra of octonions—Spin(7)-covariant (and thus G_2 -covariant) duality. This duality is widely used in the supergravity context,⁶ while the corresponding equations for a Yang-Mills field have so far been solved only for a highly simplified ansatz.³ It is pertinent to recall that in the $4D$ case progress was made in the study of duality equations (and of related equations)⁵ by using a twistor stratification

$$\mathbf{CP}^1 \rightarrow \mathbf{CP}^3 \rightarrow S^4 \quad (1)$$

of the $3D$ complex projective space \mathbf{CP}^3 on the 4-sphere S^4 with a \mathbf{CP}^1 layer. In the present letter we show how the use of octonions makes it possible to construct “truncated” [Spin(7)-covariant but not Spin(8)-covariant!] twistors suitable for an $8D$ duality and, apparently, an octonion generalization of the ADHM construction.

1. We begin with the construction, in octonion terms, of a twistor stratification¹¹

$$Q_6 \rightarrow T_{10} \rightarrow S^8 \quad (2)$$

of a complex projective manifold T_{10} on S^8 with a $6D$ complex quadric Q_6 in the layer. We will then find truncated twistors through a formal modification of this approach.

TABLE I.

	E_0	E_b	\bar{E}^0	\bar{E}^b
E_0	E_0	E_b	0	0
E_a	0	$\epsilon_{abc} \bar{E}^c$	E_a	$-\delta_a^b E_0$
\bar{E}^0	0	0	\bar{E}^0	\bar{E}^b
\bar{E}^a	\bar{E}^a	$-\delta_b^a \bar{E}^0$	0	$\epsilon^{abc} E_c$

We identify \mathbf{R}^8 with the algebra of octonions,⁷ \mathbf{O} , and we assume that this algebra is in turn nested in $\mathbf{C} \otimes_{\mathbf{R}} \mathbf{O}$. This is an alternative algebra⁷ with divisors of zero, in which we choose a basis⁸ written in the form of a column vector f ,

$$f^t \equiv (E_\mu, \bar{E}^\mu) \equiv (E_A), \mu = 0, \dots, 3, \quad A = 0, \dots, 7, \tag{3}$$

and which has the multiplication table shown in Table I. Corresponding to a point $x \in \mathbf{R}^8$ with the coordinates $(x_M) = (x_0, x_a, x_{a+3}, x_7), a = 1, 2, 3, M = 0, \dots, 7$, is an element from $\mathbf{C} \otimes_{\mathbf{R}} \mathbf{O}$ of the type $x = (x^\mu, \bar{x}_\mu) f \equiv x^A E_A, A = 0, \dots, 7, \mu = 0, \dots, 3$, where

$$x^0 = x_0 + i x_7, \quad x^a = x_a + i x_{a+3}, \quad \bar{x}_\mu = \overline{x^\mu}, \tag{4}$$

and the superior bar denotes the *complex* conjugate (here $i \in \mathbf{C} \nsubseteq \mathbf{O}$!).

We assume $\xi, \eta \in \mathbf{C} \otimes \mathbf{O}$, with $\eta = x\xi$. The idea behind the twistor approach is to construct $x \in \mathbf{O}$ from given ξ and η . This can be done, however, if and only if ξ and η satisfy several conditions. Extending the scalar product⁷ \langle, \rangle from \mathbf{O} to $\mathbf{C} \otimes \mathbf{O}$ in accordance with \mathbf{C} -linearity, we require (first) $\langle \xi, \xi \rangle = 0$. Consequently, ξ determines (in terms of uniform coordinates) a point on the $6D$ complex quadric $\bar{Q}_6^\# \subset \mathbf{CP}^7 = \mathbf{P}(\mathbf{C} \otimes \mathbf{O})$. Assuming that for a given $x \in \mathbf{O}$ we have $\eta = x\xi$, we can then write (the asterisk means *octonion* conjugation,⁷ which does not affect $i \in \mathbf{C}$)

$$\eta \xi^* = 0, \quad \langle \eta, \eta \rangle = 0, \quad \langle \xi, \xi \rangle = 0. \tag{5}$$

Consequently, η determines a point of some other quadric $Q_6^\#$.

The triplicity principle⁷ for the $\text{SO}(8)$ group asserts that for $\omega \in \text{so}(8)$ there exist unique $\bar{\omega}^\#$ and $\omega^\# \in \text{so}(8)$ which satisfy the relation

$$\omega^\#(xy) = (\omega x)y + x(\bar{\omega}^\# y), \quad x, y \in \mathbf{O}, \tag{6}$$

and, furthermore, $\omega \rightarrow \bar{\omega}^\#$ and $\omega \rightarrow \omega^\#$ are (spinor) representations of the Lie algebra $\text{so}(8)$. Consequently, the $\text{so}(8)$ -invariance of conditions (5) is ensured, since ξ and η transform by the representations specified in the notation of the corresponding quadrics. (Strictly speaking, a continuation of the representations on the basis of \mathbf{C} -linearity from \mathbf{O} to $\mathbf{C} \otimes \mathbf{O}$ is implied.)

Among relations (5), there are five independent relations which determine the complex manifold of twistors $T_{10} \subset \mathbf{CP}^{15}$. If (in terms of uniform coordinates) we have $(\eta, \xi) \in T_{10}$ and $\xi \neq 0$, then an $x \in \mathbf{O}$ such that $\eta = x\xi$ is determined unambiguously. Here $\bar{Q}_6^\#$ is in a layer above x . An infinitely remote point and the layer above it are $(Q_6^\#, \xi = 0)$. We have thus established (2).

The relation $\eta \xi^* = 0$ may be interpreted in terms of a *triplicity on complex quadrics*,⁹ according to which there is a set of correspondences of the type (point) \leftrightarrow (3-space) \leftrightarrow (3-space) between three 6D quadrics. (On a quadric of dimensionality 6, there are two, α and β , systems of linear subspaces of dimensionality 3. For $\xi \in \overline{Q}_6^\#$, those $\eta \in Q_6^\#$ for which $\eta \xi^* = 0$ define such a 3-space in terms of uniform coordinates.)

2. The *manifold of truncated twistors* T_9 is also determined by relations (5), but with $\xi \in \mathbf{C} \otimes \mathbf{O}'$, where $\mathbf{O}' = \{x \in \mathbf{O} | x^* = -x\}$ is a subspace of purely imaginary octonions. Choosing in $\mathbf{C} \otimes \mathbf{O}'$ the basis $h^t = (E_a, \overline{E}^a, \overline{E}^0 - E_0)$, assuming $\xi = (z^a, y_a, w)h$ and $\eta = x\xi = (v^\mu, u_\mu)f$, and using Table I, we can calculate the matrix X which represents the operator L_x , a multiplication from the left by $x[L_x: \mathbf{C} \otimes \mathbf{O}' \rightarrow \mathbf{C} \otimes \mathbf{O}']$:

$$(v, u)f = (z, y, w)Xf, \quad (7)$$

$$X = \begin{pmatrix} 0 & x^0 \mathbf{1} & -\bar{x} & -[x] \\ -x & -[\bar{x}] & 0 & \bar{x}_0 \mathbf{1} \\ -x^0 & x^t & \bar{x}_0 & -x^+ \end{pmatrix}, \quad x = \begin{bmatrix} x^1 \\ x^2 \\ x^3 \end{bmatrix}, \quad (8)$$

where $[x]$ is the 3×3 matrix $[x]_{ab} = \epsilon_{acb}x^c$, $\mathbf{1}$ is the unit 3×3 matrix, and $x^+ = \bar{x}^t$. In more detail, relations (7) are

$$\begin{aligned} v^0 &= -wx^0 - y_a x^a, & v^a &= wx^a + z^a x^0 - \epsilon^{abc} y_b \bar{x}_c, \\ u_0 &= w\bar{x}_0 - z^a \bar{x}_a, & u_a &= -w\bar{x}_a + y_a \bar{x}_0 - \epsilon_{abc} z^b x^c, \end{aligned} \quad (9)$$

and relations (5) are

$$\begin{aligned} \epsilon_{abc} v^b z^c + u_0 y_a - w u_a &= 0, & \epsilon^{abc} u_b y_c + v^0 z^a + v^a w &= 0, \\ v^b y_b + v^0 w = z^b u_b - w u_0 &= v^\mu u_\mu = z^b y_b - w^2 = 0. \end{aligned} \quad (10)$$

Here $SO(7)$ acts on \mathbf{O}' , while $Spin(7)$ acts on \mathbf{O} . According to the corresponding triplicity principle,⁷ for $\omega \in so(7)$ an $\omega^b \in spin(7) \subset so(8)$ is determined unambiguously such that for any $x \in \mathbf{O}, a \in \mathbf{O}'$ we have

$$\omega^b(xa) = (\omega^b x)a + x(\omega a),$$

and $\omega \rightarrow \omega^b$ is a representation. This time, therefore, ξ , x , and η carry, respectively, the representations ω , ω^b , and ω^b of the Lie algebra $so(7)$. By analogy, T_9 is interpreted in terms of a triplicity among the quadric Q_5 and the two quadrics Q_6^b .

3. The $so(7)$ -covariant duality equations which are of interest to us are

$$\frac{1}{2} f_{MNKL} F_{(M)(N)} = \lambda F_{(K)(L)}, \quad M, \dots = 0, \dots, 7, \quad (11)$$

where $F_{(M)(N)} = [\nabla_{(M)}, \nabla_{(N)}], \nabla_{(N)}$ represents covariant differentiation, and the components of the completely antisymmetric tensor f_{MNKL} are expressed in terms of the structure constants of the algebra¹⁰ \mathbf{O} . In (11), the values $\lambda_1 = -3$ and $\lambda_2 = 1$ are allowed. Assuming

$$(\nabla_A) = (\nabla_\mu, \overline{\nabla}^\mu), \quad \nabla_0 = \nabla_{(0)} - i \nabla_{(7)}, \quad \nabla_a = \nabla_{(a)} - i \nabla_{(a+3)}, \quad (12)$$

and $F_{AB} = [\nabla_A, \nabla_B]$, we find that with $\lambda = 1$ Eqs. (11) are equivalent to the system of equations

$$2F_0^a = \epsilon^{abc} F_{bc}, \quad F_0^0 = F_n^n, \quad 2F^0_a = \epsilon_{abc} F^{bc}, \quad (13)$$

while with $\lambda = -3$ they are equivalent to the system

$$F_{0a} = F^{0a} = 0, \quad 4F_a^b = \delta_a^b (F_n^n - F_0^0), \quad (14)$$

$$2F_0^a = -\epsilon^{abc} F_{bc}, \quad 2F^0_a = -\epsilon_{abc} F^{bc}.$$

4. In $\mathbf{R}^8 \approx \mathbf{O}$, where vectors and covectors are identified, the gauge field $A_{(M)}$ corresponds to a 7×8 matrix that acts on twistors [see (7) and (8)]. In terms of a covariant differentiation, we introduce, along with (12), the matrix $\widehat{\nabla} = (\nabla_\alpha^A), \alpha = 1, \dots, 7$, with components found from (8) through the substitution $x^A \rightarrow \widehat{\nabla}^A \equiv \widehat{\nabla}_A$. We assume $\nabla_\alpha^A = \nabla_B \mathcal{E}_\alpha^{BA}$; we then have $\mathcal{E}_\alpha^{AB} = -\mathcal{E}_\alpha^{BA}$. Raising and lowering the indices A, B, \dots and α, β, \dots by means of the corresponding matrices P_{AB} and $\mathcal{K}_{\alpha\beta}$, where

$$P = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \mathcal{K} = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix} \quad (15)$$

(so that, for example, $\mathcal{E}_B^{\alpha A} = \mathcal{K}^{\alpha\beta} P_{BC} \mathcal{E}_\beta^{CA}$), we find

$$N_{\alpha\beta}^{AB} = \mathcal{E}_{\alpha C}^A \mathcal{E}_\beta^{BC} - \mathcal{E}_{\alpha C}^B \mathcal{E}_\beta^{AC}. \quad (16)$$

We then have the following identities:

$$F_{AB} = \mathcal{E}_{AB\beta} F^\beta + N_{AB\alpha\beta} F^{\alpha\beta}, \quad F^\beta = \frac{1}{4} \mathcal{E}^{\beta AB} F_{AB}, \quad F^{\alpha\beta} = \frac{1}{8} N^{\alpha\beta AB} F_{AB}, \quad (17)$$

$$\mathcal{E}_{\gamma AB} N_{\alpha\beta}^{AB} = 0. \quad (18)$$

The conditions that F^α and $F^{\alpha\beta}$ vanish are equivalent to systems (13) and (14), respectively. Expansion (17) generalizes the corresponding expansion of $F_{\mu\nu}$ in \mathbf{R}^4 in spinor coordinates to self-dual and anti-self-dual parts. Does the analogy extend to the ADHM construction? We do not see how it would be possible to satisfy the relations $F^{\alpha\beta} = 0$ in the ADHM spirit. (A similar effect was observed in Ref. 4.) For the equations $F^\alpha = 0$, however, orthogonality condition (18) means that we can expect to be able to construct an octonion analog of the ADHM construction by working from the expression

$$\Delta^A = a^A + b^\alpha \mathcal{E}_\alpha^{AB} \widehat{x}_B, \quad (19)$$

which is subordinate to certain quadratic relations.

5. Most of the arguments above can be extended, with the appropriate modifications, to the case of the duality equations in \mathbf{R}^7 , which lower $\text{SO}(7)$ to G_2 . Here we should begin with a matrix representation of a G_2 -covariant action $x \in \mathbf{R}^7 \approx \mathbf{O}'$ by means of a commutator on $\mathbf{C} \otimes_{\mathbf{R}} \mathbf{O}'$. In an expanded version of this paper, we will go into the details of this construction, and we will also discuss a geometric interpretation ("geometric" here means in terms of truncated twistors and a triplicity on quadrics) of the

equations. We will describe some nontrivial compactifications of the spaces \mathbf{R}^8 and \mathbf{R}^7 which arise from the truncated twistors.

After completing this paper, I learned of Ref. 11, which has some intersection with the present paper. It is interesting to note that the Atiyah-Hitchin twistor stratification studied in Ref. 11, $\mathbf{CP}^3 \rightarrow Q_6 \rightarrow S^6$, also has a purely octonion interpretation⁸: This is a stratification of a grassmannian of oriented 2-planes in \mathbf{O} on a 6-sphere of complex structures on \mathbf{O} .

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