

Phase transition with an infinite number of order parameters in the $U(\infty)$ lattice gauge theory

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The $U(N)$ lattice gauge theory identifies N gauge-invariant parameters in the limit $N \rightarrow \infty$. These parameters describe the phase transition from the strong-coupling region to the weak-coupling region. A strong-coupling-expansion method is used to calculate the effective potential of the theory in terms of these parameters. A single-placquet model and a second-order expansion for a D -dimensional gauge theory are used to test the effectiveness of the method.

It is more difficult, as we know, to use the strong-coupling expansion in $U(N)$, $N > 3$ lattice gauge theories because of a first-order phase transition which renders the weak-coupling phase inaccessible.¹

In this letter (see also Ref. 2) we describe a method which can be used to modify the strong-coupling expansion and to uniquely describe both phases.

We will describe this method by using as an example the single-placquet model,¹ whose partition function is

$$Z = \int d(U) \exp [N\beta \operatorname{tr} (U^\dagger + U)], \quad (1)$$

where $d(U) - U(N)$ is the Haar's measure. We will parametrize the unitary condition $U^\dagger U = 1$ by means of a Lagrangian multiplier—a skew-Hermitian matrix α (Ref. 2):

$$Z = \int d\alpha \int d^2 U \exp \{ N \operatorname{tr} [\alpha - \alpha U^\dagger U + \beta (U^\dagger + U)] \}. \quad (2)$$

Integrating over U and U^\dagger and using the “polar” representation for α

$$\alpha_{ij} = \sum_k \Omega_{ik}^+ \lambda_k \Omega_{kj}, \quad (3)$$

where Ω is a unitary $U(N)$ matrix, we find

$$Z = \int \prod_{i=1}^N d\lambda_i \exp \left[N \sum_{i=1}^N (\lambda_i - \ln \lambda_i + \beta^2 \lambda_i^{-1}) + \sum_{i \neq j} \ln |\lambda_i - \lambda_j| \right]. \quad (4)$$

Here the expression within the exponential function in (4) is understood as the effective potential of $N \lambda_i$ variables, which is a first-order expansion in β^2 (that yields an exact result in this case). By introducing the normalized eigenvalue density $\rho(\lambda)$ we can now use the standard methods³ to accurately analyze the problem in the limit $N \rightarrow \infty$ and to find for this potential an equation for the stationary point of λ_i^* in (4):

$$2f \frac{\rho(\lambda') d\lambda'}{\lambda - \lambda'} = -1 + \frac{1}{\lambda} + \frac{\beta^2}{\lambda^2}. \quad (5)$$

Solving (5) by the methods of Ref. 3, we find for the placquet energy, for example,

$$E_{pl}(\beta) = \left\langle \frac{\text{tr}(U^+ + U)}{2N} \right\rangle_U = \frac{1}{N} \sum_{i=1}^N \lambda_i^{*-1}, \quad (6)$$

a solution with two branches—a strong and a weak coupling:

$$E_{pl} = \begin{cases} \beta, & \beta \leq \frac{1}{2} \\ 1 - \frac{1}{4\beta}, & \beta \geq \frac{1}{2} \end{cases}, \quad (7)$$

which are divided by the Gross-Witten phase transition.¹ We thus see that the effective potential describes in an effective way both phases of the model in terms of λ_i in (4).

Our main task is to calculate the effective potentials as functions of λ_i by expanding the potential in powers of β . In contrast with the standard strong-coupling expansion, we can describe both phases by singling out the free parameters λ_i , which play the role of N order parameters in the D -dimensional gauge theory.

To verify this program, we will examine the same single-placquet model in the form

$$Z = \int d(U) \int d(V) \exp [\beta N \text{tr}(UV^+ + VU^+)]. \quad (8)$$

Since our aim here is to give an example, we will not make use of the obvious choice of the gauge $V = I$.

Introducing the Lagrangian multipliers α_1 and α_2 for the U and V matrices and carrying out a Gaussian integration over U and V , we find

$$Z = \int d\alpha_1 \int d\alpha_2 e^{N \text{tr}(\alpha_1 + \alpha_2) - \text{tr}_1 \text{tr}_2 \ln(\alpha_1 \otimes \alpha_2 - \beta^2)}. \quad (9)$$

Transforming to the “polar” variables according to Eq. (3) for α_1 and α_2 and expanding the logarithm in (9) in powers of β^2 , we find

$$Z = \int \prod_{k=1}^2 \prod_{i=1}^N d\lambda_i^{(k)} \exp \left\{ \sum_{k=1}^2 \left[\left(\sum_i \lambda_i^{(k)} - \ln \lambda_i^{(k)} \right) + \sum_{i \neq j} \ln \left| \lambda_i^{(k)} - \lambda_j^{(k)} \right| \right] + \sum_{n=1}^{\infty} \frac{\beta^{2n}}{n} \prod_{k=1}^2 \left(\sum_i (\lambda_i^{(k)})^{-n} \right) \right\}. \quad (10)$$

In the limit $N \rightarrow \infty$, we find again the equation which describes the stationary configuration of λ_i^* (from the symmetry $\lambda_i^{(1)*} = \lambda_i^{(2)*}$)

$$2f \frac{d\lambda' \rho(\lambda')}{\lambda - \lambda'} = -1 + \sum_{n=0}^M \beta^{2n} \mu_n \lambda^{-n-1}. \quad (11)$$

In this equation we use the notation

$$\begin{aligned} \mu_0 &= 1 \\ \mu_n &= \frac{1}{N} \sum_{i=1}^N \lambda_i^{-n} = \int d\lambda \rho(\lambda) \frac{1}{\lambda^n}. \end{aligned} \quad (12)$$

In an exact equation we should set $M = \infty$ in (11); however, in our analysis of Eq. (11) M is finite, and the right side of this equation is an approximate calculation based on the expansion in a series in β^2 .

We find that even if M is finite, Eq. (11) describes two phases: a high-temperature phase in which

$$\mu_1 = 1, \quad (13)$$

$$\mu_n = 0, \quad n = 2, 3, \dots \quad (14)$$

and a low-temperature phase, which we have analyzed numerically using the procedure of Ref. 3 for finding self-consistent equations with μ_n . The results of calculation of the quantity

$$E_{pl}(\beta) = \frac{1}{2N} \frac{\partial \ln Z}{\partial \beta} \approx \sum_{n=1}^M \beta^{2n-1} \mu_n^2 \quad (15)$$

are shown in Fig. 1 for various values of M . Expression (15) is a good approximation of the exact solution of (7) to within a certain value of β , at which the agreement worsens markedly. The agreement was found to improve with increasing value of M .

A similar situation is encountered in a real D -dimensional gauge theory, although the transition from one phase to another is a first-order transition, consistent with the known Monte Carlo data.⁴ At fixed values of λ_i (which are identical at all points in the lattice if we work from the spatial symmetries of the steady-state solution) we can write the plaquet energy within an error margin of order $O(\beta^4)$

$$E_{pl}(\lambda, \beta) = \beta \mu_1^4 + \beta^3 [4(2D-3) \mu_1^6 \mu_2 + 6 \mu_1^4 \mu_2^2]. \quad (16)$$

Note that λ_i is a gauge-invariant variable. The relevant steady-state equation is

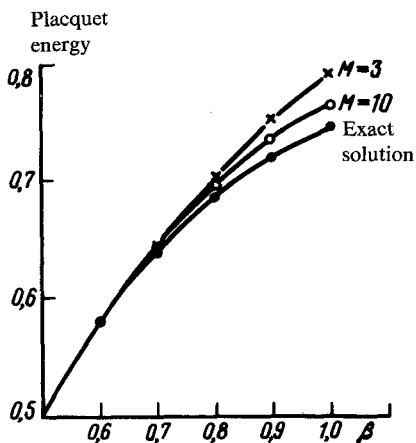


FIG. 1. Results for a single-placquet model with two matrices in the weak-coupling region. These results were obtained by modifying the expansion with three and ten terms (an exact solution can be reproduced in the strong-coupling region).

$$2 \int \frac{\rho(\lambda) d\lambda}{\lambda - \lambda'} = -1 + \lambda^{-1} + A\lambda^{-2} + B\lambda^{-3}, \quad (17)$$

where

$$A = (D-1)[2\beta^2\mu_1^3 + 6(2D-3)\beta^4\mu_1^5\mu_2 + 6\beta^4\mu_1^3\mu_2^2], \quad (18)$$

$$B = 2(D-1)\beta^4[(2D-3)\mu_1^6 + 3\mu_1^4\mu_2]. \quad (19)$$

Using the same procedure to analyze (17)–(19), we find that at $n \geq 2$ there is a high-temperature solution $\mu_1 = 1, \mu_n = 0$ for all values of β . We easily see, on the other hand, that there is a low-temperature branch at least for large values of β . From (17)–(19) we find the self-consistent solution

$$E_{pl}(\beta) \underset{\beta \rightarrow \infty}{\approx} a\beta^{-3/7}, \quad (20)$$

where

$$a = [6(D-1)^{-6}]^{1/7}. \quad (21)$$

A first-order transition between two solutions (17)–(19) typically occurs at β_c , which corresponds to the condition under which the thermodynamic potentials of both phases are equal. Equations (17)–(19) will be analyzed in a comprehensive study.

In summary, we have described a method for analytic calculation of the physical quantities in the $U(\infty)$ lattice gauge theory. In this method the strong-coupling expansion is modified by introducing N gauge-invariant order parameters λ_i which describe both phases of the theory. Further quantitative study of the theory by this method requires the use of a computer.

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