

# Diffusion in two-dimensional crystals

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The attachment of solitons to their lattice leads to certain features in the behavior of the diffusion coefficients in a monolayer of adsorbed atoms.

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In experiments on the diffusion of a submonolayer barium film on the Mo(110) face, Vedula *et al.*<sup>1,2</sup> found that the diffusion coefficient  $D$ , the activation energy  $\epsilon_a$ , and the coefficient ( $D_0$ ) of the exponential function are all nonmonotonic functions of the degree of surface coverage  $\theta$  (the ratio of the actual concentration of adatoms to the concentration in a closely packed monolayer). Earlier studies of structures in this system<sup>3</sup> had demonstrated the existence of several commensurable phases at small values of  $\theta$  and of an incommensurable phase at  $\theta > 0.6$ . Comparison of structural data with data on diffusion reveals a correlation. In particular,  $\epsilon_a$  and  $D_0$  have minima at coverages corresponding to the phases  $C(2 \times 6)$  ( $\theta = 0.8$ ) and  $C(2 \times 2)$  ( $\theta = 0.6$ ). The activation energy near these minima has clearly defined plateaus in an interval  $\Delta\theta \sim 0.1$ , while  $D_0$  increases by a factor of  $10^3 - 10^5$  in an interval<sup>1)</sup>  $\Delta\theta \sim 0.1$ .

According to the present theoretical understanding, a lattice of solitons (regions of compression or extension) compensates for a mismatch of the film and substrate lattice constants in the case of a small deviation from commensurability.<sup>4,5</sup> For an anisotropic substrate potential relief, the soliton lattice may be thought of as a system of equidistant bands with some period  $l$ . For a given number of particles this period is related to the deviation of the surface coverage  $\theta$  from its value at the commensurability point,  $\theta_0$ , by  $l = a(\theta - \theta_0)^{-1}$ , where  $a$  is the lattice constant of the substrate. For simplicity, we assume here that  $\theta_0$  corresponds to the case in which the film and substrate lattice constants are equal. The energy of an isolated soliton varies periodically with the position of its center, with a period equal to the substrate lattice constant. This effect is analogous to the Peierls barriers for dislocations in a three-dimensional crystal. At large values of  $l$  the height of the periodic barriers is greater than the soliton interaction energy, and the solitons are pinned to the substrate. Since the solitons in a two-dimensional crystal are line defects, their pinning energy is proportional to the dimensions of the crystal. At a certain critical  $l_c$  the interaction between solitons overcomes the pinning energy, and the solitons are torn out of the lattice.<sup>6</sup> As a result, the incommensurable crystal acquires a continuous translation group, with which additional acoustic modes are associated.<sup>5,7</sup> Acoustic modes in incommensurable crystals have been observed<sup>8</sup> in the Sr–Mo[110] system in experiments by low-energy electron diffraction. In the present letter we wish to offer an explanation for these aspects of the diffusion, working from a mechanism for mass transfer by solitons.

In the region  $l > l_c$ , in which the soliton as a whole is pinned, its motion may correspond to dislocation climb in a glide plane in a three-dimensional crystal. A soliton has a certain number of kinks whose motion leads to the climb of the soliton over one lattice constant. The energy of one of these kinks,  $\epsilon_k$ , is of order  $(\lambda V)^{1/2} a^2$ , where  $\lambda$  is the elastic constant of the two-dimensional crystal, and  $V$  is the amplitude of the substrate potential relief. The number of such kinks is  $n_k \sim \exp(-\epsilon_k/T)$ . A real system has substrate defects that pin solitons. The energy of a point defect,  $\epsilon_d$ , in an incommensurable lattice is of order  $\lambda a^2$ . The time over which a soliton is torn away from a point defect is  $\tau_d \sim \omega_0^{-1} \exp(\epsilon_d/T)$ , where  $\omega_0$  is a characteristic frequency. Let us compare this time with the time required for a kink to move between two defects,  $\tau_k$ . This time can be estimated in order of magnitude from  $\tau_k \sim (c\omega_0 N^2/k)^{-1}$ , where  $c$  is the defect concentration (the number of defects per lattice site). The motion of the kinks determines the diffusion coefficient if  $\tau_k > \tau_d$ , i.e., if  $c < \exp[(2\epsilon_k - \epsilon_d)/T]$ . Consequently, at sufficiently small values of  $c$  the temperature dependence of  $D$  is determined by the factor  $\exp(-\epsilon_k/T)$ .

If  $l < l_c$ , the motion of solitons in the absence of defects should be a purely mechanical motion (with friction), so that the diffusion would be determined by the time  $\tau_d$ . Consequently, when we go from  $l > l_c$  to  $l < l_c$  we find a change in the activation energy, from  $\epsilon_k$  to  $\epsilon_d$ . The condition for the existence of incommensurable structures is  $V < \lambda$ , and under this condition we have  $\epsilon_d > \epsilon_k$ . Upon further compression the activation energy increases because of the increase in  $\lambda$ .

Let us examine the behavior of the coefficient of the exponential function. In the region  $l > l_c$  there is a diffusion of kinks, each containing an additional adatom, so the  $D_0 \sim \omega_0 a^3 l^{-1}$ . When a soliton is torn away from a defect in the case  $l < l_c$  there is a displacement  $l$  of a region with an area of order  $a^2/c$ , which contains  $(\theta - \theta_0)/c$  additional adatoms. Such a region may be thought of as a "particle" which is moving under the influence of the pressure gradient caused in the elastic lattice of solitons by a concentration gradient. The force  $F$  acting on such a "particle" is proportional to the product of the pressure difference  $\Delta p$  and the transverse dimension of the "particle",  $ac^{-1/2}$ :  $F \approx \Delta p / ac^{-1/2}$ , where  $\Delta p \approx (\partial\theta/\partial p)(\partial p/\partial x) ac^{-1/2}$ . Using the standard expression for the diffusion flux caused by a constant force, we find

$$D_0 = \frac{\theta - \theta_0}{c^2} \frac{l}{T} \omega_0 a^3 \frac{\partial p}{\partial \theta}. \quad (1)$$

The pressure in the soliton system,  $p$ , is of order  $\lambda a^2 l_0^{-2} \times \exp(-l/l_0)$ , where  $l_0 \sim a(\lambda/V)^{1/2}$  is the width of the soliton. Substituting this value in (1) we find

$$D_0 = \omega_0 a^2 \frac{\lambda a^3}{c^2 T l_0} \left( \frac{l}{l_0} \right)^2 \exp(-l/l_0). \quad (2)$$

Equation (2) shows that  $D_0$  is a very strong function of  $l$  or, equivalently, of  $\theta - \theta_0$ . The characteristic value of this coefficient differs by the large factor  $\lambda a^3/c^2 T l_0$  from the value  $D_0 \sim \omega_0 a^2$  which corresponds to point carriers.

Pinning by defects leads to a small gap  $\Delta$  in the energy spectrum. In order of magnitude this gap is  $\Delta \sim sac^{-1/2}$ , where  $s$  is the velocity of sound, given by

$s^2 = (\partial p / \partial l) / Ma$ . Hence,

$$\Delta \sim \left( \frac{a}{l_0} \right)^{3/2} c^{1/2} T_D \exp \left( -l / 2l_0 \right), \quad (3)$$

where  $T_D$  is the Debye temperature for the adatom lattice, and  $M$  is the adatom mass. The gap is obviously small (no greater than 1 K) for realistic values of the parameters. This result explains why defects are not reflected in measurements of the Debye-Waller factor.<sup>8</sup>

A similar behavior of  $\epsilon_a$  and  $D_0$  has been observed near concentrations corresponding to the commensurable phases  $C(2 \times 3)$ ,  $C(2 \times 2)$ , and  $C(1 \times 1)$  in the Li-W[110] system.<sup>9</sup>

<sup>1)</sup>These results were graciously furnished by Vedula *et al.*<sup>1,2</sup>

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